

## ELLIPTIC PLANAR VECTOR FIELDS WITH DEGENERACIES

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ABSTRACT. This paper deals with the normalization of elliptic vector fields in the plane that degenerate along a simple and closed curve. The associated homogeneous equation  $Lu = 0$  is studied and an application to a degenerate Beltrami equation is given.

### 0. INTRODUCTION

This paper deals mainly with the normalization and integrability of a class of smooth complex-valued vector fields in the plane. A vector field  $L$  in this class will be assumed to be elliptic throughout except on a closed and simple curve  $\Sigma$  along which it is supposed to be tangent and such that  $L \wedge \overline{L}$  vanishes to a constant order on  $\Sigma$ . The questions considered here are those of integrability and normalization of  $L$  in a tubular neighborhood of  $\Sigma$ .

We can assume that  $\Sigma$  is the circle  $\{0\} \times S^1 \subset \mathbb{R} \times S^1$  and that in a neighborhood of  $\Sigma$ , the vector field  $L$  has the expression

$$(0.1) \quad L_n = \frac{\partial}{\partial \theta} - ir^{n+1}a(r, \theta) \frac{\partial}{\partial r},$$

with  $\operatorname{Re}(a(0, \theta)) \neq 0$  for every  $\theta$ . The case  $n = 0$  is now well understood (see [CG], [M1], and [M2]). The focus of this paper is then on the case  $n \geq 1$ .

To achieve a normal form for  $L_n$ , we construct a  $C^\infty$ -integral of  $L_n$  in a ring  $A_\delta = (-\delta, \delta) \times S^1$ . We use this integral to show that  $L_n$  is  $C^\infty$ -conjugate to the rotation invariant vector field  $R_n$  given by

$$(0.2) \quad R_n = \frac{\partial}{\partial \theta} - i \frac{r^{n+1}}{rP'(r) - nP(r) + \mu r^n} \frac{\partial}{\partial r},$$

where  $\mu \in \mathbb{C}$  and  $P(r)$  is a polynomial with degree at most  $n - 1$  and such that  $\operatorname{Re}(P(0)) < 0$ . The polynomial  $P$  and  $\mu$  are uniquely determined by the vector field  $L_n$ . It follows, in particular, that two distinct vector fields given by (2) cannot be conjugate. A corresponding  $C^\infty$ -integral of  $R_n$  is the function

$$(0.3) \quad f_n(r, \theta) = \exp \left( \epsilon(r)^n \left( \frac{P(r)}{r^n} + \mu \log |r| + i\theta \right) \right),$$

with  $\epsilon(r) = \frac{r}{|r|}$ . Note that since  $\operatorname{Re}(P(0)) < 0$ ,  $f_n \in C^\infty(A_\delta)$  (for  $\delta$  small enough) and that it vanishes to infinite order along  $\Sigma$ .

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Our motivation for seeking such normal forms is in the subsequent study of the pde's related to the structures defined by  $L$ . The normal forms allow us to write the equations in such a way that they can be analyzed. Related papers about solvability of vector fields near the characteristic set include [BCH], [BCP], [BgM1], [BgM2], [BhM1], [BhM2], [M1], [M2], [NT], [T1], [T2] and many others (see the extensive list of references contained in [T2]).

The organization of this paper is as follows. In Section 1, we set the preliminaries and recall the main results of [CG], [M1] and [M2] about the case  $n = 0$ . In Section 2, we construct a unique series that is a formal solution of the equation  $L_n u = 0$ . The series has the form

$$(0.4) \quad \frac{P(r)}{r^n} + \mu \log |r| + i\theta + \sum_{j=1}^{\infty} f_j(\theta) r^j ,$$

with  $\mu \in \mathbb{C}$ ,  $P$  a polynomial with degree  $\leq n - 1$ , and  $f_j \in C^\infty(S^1)$  (or in  $C^\omega(S^1)$  when  $L$  is real analytic). In general, the series  $\sum f_j(\theta) r^j$  appearing in (4) diverges for every  $r \neq 0$ . This is illustrated by an example in Section 3. In order to construct a nonconstant  $C^\infty$  solution of  $L_n u = 0$ , we study, in Section 4, particular CR equations. Namely, equations of the form

$$(0.5) \quad \frac{\partial w}{\partial \bar{z}} = \frac{f(z)}{z} \quad \text{and} \quad \frac{\partial w}{\partial \bar{z}} = \mu(z) \frac{\partial w}{\partial z}$$

where the coefficients  $f(z)$  and  $\mu(z)$  are functions of order  $o(\log^{-q} \frac{1}{|z|})$  for every  $q > 0$ . In Section 5, we use the series (4) and results obtained in Section 4 to construct a  $C^\infty$ -integral for  $L_n$ . The normal form (3) for  $L_n$  is obtained in Section 6. The kernel of the operator  $R_n$  is studied in Section 7. We prove that all  $C^0$ -solutions of  $R_n u = 0$ , in a neighborhood of the circle  $r = 0$ , are  $C^\infty$  functions. This result does not have a local counterpart. Indeed, for every  $p \in \Sigma$ , the equation  $R_n u = 0$  has continuous solutions defined in a neighborhood of  $p$  that are not  $C^\infty$ . For the distribution solutions, we show that if  $u \in \mathcal{D}'(A_\delta)$  solves  $R_n u = 0$  and has support in  $\Sigma$ , then there are constants  $c_0, \dots, c_{n-1}$  such that

$$(0.6) \quad \langle u, \phi \rangle = \sum_{j=0}^{n-1} c_j \int_0^{2\pi} \frac{\partial^j \phi}{\partial r^j}(0, \theta) d\theta , \quad \forall \phi \in \mathcal{D}(A_\delta).$$

In the last section we make use of the normalization of the vector  $L_0$  to study a degenerate Beltrami equation.

## 1. PRELIMINARIES AND FIRST ORDER CASE

In this section, we give the preliminary settings and recall the normalization for the case  $n = 0$ . Let

$$(1.1) \quad L = a(x, y) \frac{\partial}{\partial x} + b(x, y) \frac{\partial}{\partial y}$$

be a vector field in  $\mathbb{R}^2$ . We assume that the coefficients  $a$  and  $b$ , are  $C^\infty$  or  $C^\omega$  functions, are  $\mathbb{C}$ -valued and that they do not vanish simultaneously. Let  $\bar{L}$  be the complex conjugate vector field

$$(1.2) \quad \bar{L} = \bar{a} \frac{\partial}{\partial x} + \bar{b} \frac{\partial}{\partial y}.$$

The vector field  $L$  is said to be elliptic at a point  $p$  if  $L$  and  $\overline{L}$  are independent at  $p$ . If  $L$  is elliptic at each point of an open set  $\Omega$ , then it is equivalent in  $\Omega$  to the CR vector field

$$\frac{\partial}{\partial \bar{z}} = \frac{1}{2} \frac{\partial}{\partial x} + \frac{i}{2} \frac{\partial}{\partial y}.$$

Denote by  $\Sigma$  the characteristic set of  $L$ . That is, the set of points where  $L$  fails to be elliptic:

$$(1.3) \quad \Sigma = \{p \in \mathbb{R}^2; L \text{ and } \overline{L} \text{ are independent}\}.$$

We make the following assumptions

- (H1)  $\Sigma$  is a simple and closed curve;
- (H2)  $L$  is tangent to  $\Sigma$  at each point  $p \in \Sigma$ ;
- (H3)  $L \wedge \overline{L}$  vanishes to a constant order  $n+1$  along  $\Sigma$ .

It follows from the local representation of such vector fields (see [T1] or [T2]) that for each given  $p \in \Sigma$ , there are coordinates  $(s, t)$ , centered at  $p$ , such that in a neighborhood of the point  $p$ , the vector field  $L$  is a multiple of

$$(1.4) \quad \frac{\partial}{\partial t} - is^{n+1} \alpha(s, t) \frac{\partial}{\partial s}$$

some real-valued function  $\alpha$  satisfying  $\alpha(0) \neq 0$ . It follows at once, that  $L$  satisfies the Nirenberg-Treves condition  $(P)$  at each point on  $\Sigma$  (see [NT] or [T1] or [T2]). These vector fields are thus locally integrable and locally solvable. In fact, the function  $\alpha$  of (1.4) can be assumed to be identically equal to 1 (see [CG]). Thus, a vector field  $L$  satisfying hypotheses (H1), (H2), and (H3) can be viewed as follows:

in a neighborhood of a point  $p \notin \Sigma$ ,  $L$  is equivalent to  $\frac{\partial}{\partial \bar{z}}$  and in a neighborhood of a point  $p \in \Sigma$ ,  $L$  is equivalent to  $\frac{\partial}{\partial y} - ix^{n+1} \frac{\partial}{\partial x}$ . These vector fields are therefore well understood when viewed locally. Their global behavior is, however, more complicated. Our aim here is to obtain normal forms for  $L$  in a tubular neighborhood of the characteristic set  $\Sigma$ .

From the assumption (H1), we can assume that  $\Sigma$  is a circle, that  $L$  is defined in  $\mathbb{R} \times S^1$ , and that

$$(1.5) \quad \Sigma = \{0\} \times S^1.$$

Let

$$(1.6) \quad L = \alpha(r, \theta) \frac{\partial}{\partial \theta} + \beta(r, \theta) \frac{\partial}{\partial r},$$

where  $(r, \theta)$  are the coordinates in  $\mathbb{R} \times S^1$ . It follows from hypotheses (H2) and (H3) that there exists  $\delta > 0$  such that in the ring

$$(1.7) \quad A_\delta = (-\delta, \delta) \times S^1$$

the vector field  $L$  is a multiple of a vector field  $L_n$  of the form

$$(1.8) \quad L_n = \frac{\partial}{\partial \theta} - ir^{n+1} a(r, \theta) \frac{\partial}{\partial r},$$

for some  $a \in C^\infty(A_\delta)$  satisfying  $\operatorname{Re}(a(r, \theta)) \neq 0$  for every  $(r, \theta) \in A_\delta$ . Without loss of generality, we can assume that

$$(1.9) \quad \operatorname{Re}(a(r, \theta)) > 0 \quad \forall (r, \theta) \in A_\delta.$$

The linear case  $n = 0$  was studied in [M1] and [M2] and the study was completed in [CG]. It is proved in [M1] and [M2] that the complex number

$$(1.10) \quad \lambda = \frac{1}{2\pi} \int_0^{2\pi} a(0, \theta) d\theta \in \mathbb{R}^+ + i\mathbb{R}$$

is an invariant that characterizes  $L_0$ . It is shown in [M2] that if  $Im\lambda \neq 0$ , then for every  $k \in \mathbb{Z}^+$ , there exists a  $C^k$ -diffeomorphism of  $A_\delta$  that transforms  $L_0$  to a multiple of the vector field

$$(1.11) \quad T_\lambda = \frac{\partial}{\partial \theta} - ir\lambda \frac{\partial}{\partial r}.$$

When  $Im\lambda = 0$  (i.e.,  $\lambda \in \mathbb{R}^+$ ), it is also proved in [M2] that  $L_0$  is equivalent to  $T_\lambda$  but only under a  $C^{1+\sigma}$ -diffeomorphism for some  $0 < \sigma < 1$ . In [CG], the above result about  $C^k$  equivalence is extended to include the case  $\lambda \in \mathbb{R} \setminus \mathbb{Q}$ .

In the real analytic category, it is proved in [M1] that  $L_0$  is  $C^\omega$ -equivalent to  $T_\lambda$ , if the equation  $L_0 u = 0$  has a nonconstant  $C^\omega$  solution. This is equivalent to saying that the holonomy group of  $\Sigma$  is periodic. It is proved in [CG] that, when  $\lambda \in \mathbb{R} \setminus \mathbb{Q}$  and  $\lambda$  satisfies a certain diophantine condition (Bruno condition), the vector field  $L_0$  is  $C^\omega$ -equivalent to  $T_\lambda$ . It is also proved that there are  $C^\omega$  vector fields  $L_0$  with  $\lambda \in \mathbb{R}$  not satisfying the Bruno condition such that  $L_0$  is not  $C^\omega$  equivalent to  $T_\lambda$ .

## 2. FORMAL INTEGRABILITY

We show that a vector field  $L_n$  as in (1.8) has a formal integral. First, we rewrite the vector field in more suitable coordinates.

**Lemma 2.1.** *There is a  $C^\infty$  change of coordinates that transforms  $L_n$  into a multiple of*

$$(2.1) \quad \frac{\partial}{\partial \theta} - ir^{n+1}(c_0 + c(r, \theta)) \frac{\partial}{\partial r},$$

where  $c_0 = 1 + i\beta \in \mathbb{C}$ , and  $c(r, \theta) \in C^\infty(A_\delta)$  satisfying  $c(0, \theta) \equiv 0$ . (The change of coordinates is  $C^\omega$  when  $L$  is  $C^\omega$ .)

*Proof.* With  $L_n$  as in (1.8), consider the 1-form  $\omega$  given by

$$(2.2) \quad \omega = dr + ir^{n+1}a(r, \theta)d\theta.$$

With our assumption  $Rea(r, \theta) > 0$ , we have

$$(2.3) \quad \lambda = \frac{1}{2\pi} \int_0^{2\pi} a(0, \theta) d\theta = a + ib \in \mathbb{R}^+ + i\mathbb{R}.$$

Since  $n > 0$ , we can replace  $r$  by  $r_1 = \sqrt[n]{ar}$  in such a way that in the new coordinates  $(r_1, \theta)$  we have  $Re(\lambda) = 1$ . Hence, from now on we can assume that

$$(2.4) \quad a(r, \theta) = 1 + ib_0 + \gamma_1(\theta) + i\gamma_2(\theta) + ra_1(r, \theta),$$

where  $b_0 \in \mathbb{R}$ ,  $a_1 \in C^\infty(A_\delta)$ , and  $\gamma_1, \gamma_2 \in C^\infty(S^1)$  are  $\mathbb{R}$ -valued and have averages on  $S^1$  equal to 0, i.e.,

$$(2.5) \quad \int_0^{2\pi} \gamma_k(\theta) d\theta = 0, \quad k = 1, 2.$$

Consider the new angle  $\phi$  defined by

$$(2.6) \quad \phi(\theta) = \theta + \int_0^\theta \gamma_1(s) ds.$$

It follows from the hypothesis on  $a$  that  $\phi'(\theta) > 0$  and from (2.5) that  $\phi(\theta + 2\pi) = \phi(\theta) + 2\pi$ . With respect to the coordinates  $(r, \phi)$ , the form  $\omega$  has the expression

$$(2.7) \quad \omega = dr + ir^{n+1}(1 + i\beta - i\chi(\phi) + O(r))d\phi,$$

with  $\beta \in \mathbb{R}$ ,  $\chi \in C^\infty(S^1)$ , real valued and with zero average on  $S^1$ . Consider the new variables  $(\rho, \phi)$  in  $A_\delta$ , where

$$(2.8) \quad \rho = \frac{r}{\sqrt[n]{1 - nr^n m(\phi)}} \quad \text{with} \quad m(\phi) = \int_0^\phi \chi(s) ds.$$

A calculation shows that

$$dr + r^{n+1}\chi(\phi) = \frac{d\rho}{\sqrt[n]{1 + n\rho^n m(\phi)^{n+1}}}.$$

In the  $(\rho, \phi)$  coordinates, the form  $\omega$  is a multiple of

$$d\rho + i\rho^{n+1}(1 + i\beta + O(\rho))d\phi.$$

Consequently,  $L_n$  is a multiple of a vector field given by (2.1).  $\square$

From now on, we will assume that  $L_n$  is given by (2.1). We will show that  $L_n$  has a formal first integral. More precisely, we have the following proposition.

**Proposition 2.1.** *Let  $L_n$  be as in (2.1). Then there exist unique constants  $\mu \in \mathbb{C}$ ,  $\alpha_{-n}, \dots, \alpha_{-1} \in \mathbb{C}$  and a unique sequence of functions  $f_j(\theta) \in C^\infty(S^1)$ ,  $j \in \mathbb{Z}^+$ , such that the series*

$$(2.9) \quad f(r, \theta) = \frac{\alpha_{-n}}{r^n} + \dots + \frac{\alpha_{-1}}{r} + \mu \log |r| + i\theta + \sum_{j=1}^{\infty} f_j(\theta) r^j$$

*solves formally the equation  $L_n f = 0$ .*

*Remark 2.1.* By a formal solution of the equation  $L_n u = 0$ , we mean the following. For each  $N \in \mathbb{Z}^+$ , the function  $f_N$  defined by

$$(2.10) \quad f_N(r, \theta) = \frac{\alpha_{-n}}{r^n} + \dots + \frac{\alpha_{-1}}{r} + \mu \log |r| + i\theta + \sum_{j=1}^N f_j(\theta) r^j$$

satisfies  $L_n f_N = o(r^N)$ .

*Remark 2.2.* When  $L_n$  is real analytic, the functions  $f_j(\theta) \in C^\omega(S^1)$ .

*Proof of Proposition 2.1.* The Taylor expansion of the coefficient of  $L_n$  given by (2.1) is

$$(2.11) \quad T_0(c_0 + c(r, \theta)) = \sum_{j=0}^{\infty} c_j(\theta) r^j,$$

with  $c_j(\theta) = \frac{1}{j!} \frac{\partial^j c}{\partial r^j}(0, \theta)$ . We write

$$(2.12) \quad c_j(\theta) = c_j^0 + \gamma_j(\theta),$$

where

$$(2.13) \quad c_j^0 = \frac{1}{2\pi} \int_0^{2\pi} c_j(\theta) d\theta.$$

Note that  $c_0 = 1 + i\beta$ ,  $\gamma_0 = 0$ , and that since for  $j \geq 1$ , the average of  $\gamma_j(\theta)$  on  $S^1$  is zero, then

$$(2.14) \quad \int_0^\theta \gamma_j(s) ds \in C^\infty(S^1).$$

In order for the series (2.9) to formally satisfy  $L_n u = 0$ , we need to have

$$(2.15) \quad i + \sum_{j=1}^{\infty} f'_j(\theta) r^j - i r^{n+1} \sum_{l=0}^{\infty} (c_l^0 + \gamma_l(\theta)) r^l \left[ \frac{-n\alpha_{-n}}{r^{n+1}} + \cdots + \frac{-\alpha_{-1}}{r^2} + \frac{\mu}{r} + \sum_{j=1}^{\infty} j f_j(\theta) r^{j-1} \right] = 0.$$

After grouping like terms and equating to zero the coefficient of  $r^m$ , we obtain the following equations:

$$(2.16) \quad 1 + n\alpha_{-n}c_0 = 0, \quad \text{for } m = 0;$$

$$(2.17) \quad f'_m + \sum_{k=n-m}^n ik\alpha_{-k}(c_{m-n+k}^0 + \gamma_{m-n+k}) = 0, \quad \text{for } m = 1, \dots, n-1;$$

$$(2.18) \quad f'_n - ic_0\mu + \sum_{k=1}^n ik\alpha_{-k}(c_k^0 + \gamma_k) = 0, \quad \text{for } m = n;$$

$$(2.19) \quad f'_m - i\mu(c_{m-n}^0 + \gamma_{m-n}) + \sum_{k=1}^n (c_{m-n+k}^0 + \gamma_{m-n+k}) - \sum_{k=1}^{m-n} ikf_k(c_{m-n-k}^0 + \gamma_{m-n-k}) = 0 \quad \text{for } m \geq n+1.$$

It follows from (2.16) that

$$(2.20) \quad \alpha_{-n} = \frac{-1}{nc_0}$$

is uniquely determined. We set  $m = 1$  in (2.17) to obtain

$$(2.21) \quad f'_1(\theta) = -i((n-1)\alpha_{-(n-1)}c_0 + n\alpha_{-n}(c_1^0 + \gamma_1(\theta))).$$

It follows from (2.14) that this equation has a  $2\pi$ -periodic solution  $f_1(\theta)$  if and only if

$$(2.22) \quad (n-1)c_0\alpha_{-(n-1)} + n\alpha_{-n}c_1^0 = 0.$$

This determines  $\alpha_{-(n-1)}$  uniquely. For this value of  $\alpha_{-(n-1)}$ , the function  $f_1 \in C^\infty(S^1)$  is determined up to an additive constant of integration  $K_1$ . By induction, suppose that there are unique constants  $\alpha_{-n}, \dots, \alpha_{-l}$ , with  $l < n-1$  so that the differential equations in (2.17) for  $m = 1, \dots, l$  have  $2\pi$ -periodic solutions  $f_1, \dots, f_l$  that are determined up to additive constants  $K_1, \dots, K_l$ . For  $m = l+1$ , we obtain the equation

$$(2.23) \quad f'_{l+1}(\theta) = -i(n-(l+1))\alpha_{-(n-(l+1))}c_0 - \sum_{k=n-l}^n ik\alpha_{-k}(c_{l+1-n+k}^0 + \gamma_{l+1-n+k}(\theta)).$$

It follows from (2.14) that equation (2.23) has a  $2\pi$ -periodic solution  $f_{l+1}$  (determined up to an additive constant) for the unique value of  $\alpha_{-(n-(l+1))}$  given by

$$(2.24) \quad (n - (l + 1))c_0\alpha_{-(n-(l+1))} + \sum_{k=n-l}^n k\alpha_{-k}c_{l+1-n+k}^0 = 0.$$

This shows that there are unique constants  $\alpha_{-n}, \dots, \alpha_{-1}$  so that equations (2.17) have  $2\pi$ -periodic solutions  $f_1, \dots, f_{n-1}$  that are determined up to additive constants.

Now that  $\alpha_{-n}, \dots, \alpha_{-1}$  are determined, there is a unique constant  $\mu$  given by

$$(2.25) \quad -c_0\mu + \sum_{k=1}^n k\alpha_{-k}c_k^0 = 0$$

so that the equation (2.18) has a  $2\pi$ -periodic solution  $f_n(\theta)$ .

For  $m = n + 1$ , equation (2.19) has a  $2\pi$ -periodic solution  $f_{n+1}(\theta)$  if and only if

$$(2.26) \quad \int_0^{2\pi} \left( \mu c_1^0 + \sum_{k=1}^n k\alpha_{-k}c_{1+k}^0 - f_1(\theta)c_0 \right) d\theta = 0.$$

There is a unique choice of the constant  $K_1$  for which equation (2.26) holds. For this choice of  $K_1$  (so  $f_1$  is now uniquely determined),  $f_{n+1}$  is determined up to an additive constant. By induction, suppose the functions  $f_1, \dots, f_l$  are uniquely determined so that equations (2.19) have  $2\pi$ -periodic solutions  $f_{n+1}, \dots, f_{n+l}$  determined up to additive constants. The equation for  $m = n + l + 1$  has a  $2\pi$ -periodic solution  $f_{n+l+1}$  if and only if

$$(2.27) \quad \begin{aligned} (l + 1)c_0 \int_0^{2\pi} f_{l+1}(\theta)d\theta &= \int_0^{2\pi} (-\mu c_{l+1}^0 + \sum_{k=1}^n k\alpha_{-k}c_{l+1+k}^0)d\theta \\ &+ \sum_{k=1}^l \int_0^{2\pi} k f_k(\theta)(c_{l+1-k}^0 + \gamma_{l+1-k}(\theta))d\theta. \end{aligned}$$

There is a unique constant  $K_{l+1}$  (so  $f_{l+1}$  is uniquely determined) for which (2.28) holds. This completes the proof of the proposition.  $\square$

### 3. AN EXAMPLE

We give an example of a real analytic vector field with  $n = 1$  for which the series solution constructed in the previous section diverges for every  $r \neq 0$ . Consider the vector field

$$(3.1) \quad L_1 = \frac{\partial}{\partial \theta} - ir^2(1 + re^{i\theta})\frac{\partial}{\partial r}.$$

We have the following proposition.

**Proposition 3.1.** *For the vector field  $L_1$  of (3.1), the series*

$$(3.2) \quad f(r, \theta) = \frac{\alpha_{-1}}{r} + \mu \log |r| + i\theta + \sum_{j=1}^{\infty} f_j(\theta)r^j,$$

with  $f_j \in C^\infty(S^1)$ , solves formally  $L_1 u = 0$ , if and only if

$$(3.3) \quad \begin{aligned} \alpha_{-1} = -1, \quad \mu = 0, \quad f_1(\theta) = e^{i\theta} \quad \text{and} \\ f_j(\theta) = (j-1)!e^{i\theta} + \sum_{k=2}^{j-1} f_{jk} e^{ik\theta}, \quad \text{for } j = 2, 3, \dots, \end{aligned}$$

where  $f_{jk}$  are constants. Consequently, the series  $\sum_{j=1}^{\infty} f_j(\theta) r^j$  diverges for every  $r \neq 0$ .

*Proof.* It follows at once from  $L_1 u = 0$  that

$$(3.4) \quad \begin{aligned} i(1 + \alpha_{-1}) + (f'_1 + i\alpha_{-1}e^{i\theta} - i\mu)r + (f'_2 - i\mu e^{i\theta} - if_1)r^2 \\ + \sum_{m \geq 3}^{\infty} (f'_m - i(m-1)f_{m-1} - i(m-2)e^{i\theta}f_{m-2})r^m = 0. \end{aligned}$$

Hence  $\alpha_{-1} = -1$  and then

$$(3.5) \quad f'_1(\theta) - ie^{i\theta} - i\mu = 0$$

has a  $2\pi$ -periodic solution only when  $\mu = 0$ . In this case

$$(3.6) \quad f_1(\theta) = e^{i\theta} + K_1.$$

By equating the coefficient of  $r^2$  to 0, we get

$$(3.7) \quad f'_2(\theta) = if_1(\theta) = ie^{i\theta} + iK_1.$$

This equation has a  $2\pi$ -periodic solution if  $K_1 = 0$  and then

$$(3.8) \quad f_2(\theta) = e^{i\theta} + K_2.$$

By equating the coefficient of  $r^3$  to zero, we see that  $f_3$  exists only when  $K_2 = 0$  and then

$$(3.9) \quad f_3(\theta) = 2e^{i\theta} + \frac{1}{2}e^{2i\theta} + K_3.$$

By induction, suppose that  $f_j$  has the expression given in (3.3) for  $j = 1, \dots, m-1$ , then it follows from (3.4) that

$$(3.10) \quad \begin{aligned} f'_m(\theta) &= (m-1)if_{m-1}(\theta) + (m-2)ie^{i\theta}f_{m-2}(\theta) \\ &= (m-1)!ie^{i\theta} + \sum_{k=2}^{m-1} d_{mk}e^{ik\theta} \end{aligned}$$

with  $d_{mk}$  constants. Expression (3.3) for  $f_m$  follows at once.

To complete the proof of the proposition, observe that if  $\sum f_j(\theta)r^j$  has positive radius of convergence, then the function

$$(3.11) \quad M(r) = \frac{1}{2\pi} \int_0^{2\pi} \left( \sum_{j=1}^{\infty} f_j(\theta)r^j \right) e^{-i\theta} d\theta$$

would be real analytic at  $r = 0$ . But it follows from (3.3) that

$$(3.12) \quad M(r) = \sum_{j=1}^{\infty} (j-1)!r^j$$

with radius of convergence equal to zero. □



## 4. SOME RESULTS ABOUT THE CR OPERATOR

We will prove some results about the CR equation that will be needed to construct a  $C^\infty$  integral for  $L_n$ . Consider the space of functions defined in the disc  $D(0, R) \subset \mathbb{C}$  by

$$(4.1) \quad E_R = \{f \in C^\infty(\overline{D(0, R)} \setminus \{0\}); f(z) = o(\log^{-q} \frac{1}{|z|}) \quad \forall q > 0\}.$$

**Lemma 4.1.** *Let  $f \in E_R$  and let*

$$(4.2) \quad g(z) = \int \int_{D(0, R)} \frac{f(\zeta)}{\zeta^2(\zeta - z)} d\xi d\eta,$$

where  $\zeta = \xi + i\eta$ . Then there is  $R_1 < R$  such that  $zg(z) \in E_{R_1}$ .

*Proof.* Since  $f \in E_R$ , then it is not difficult to see that  $g$  is  $C^\infty$  for  $z \neq 0$ . We need only to show that for a given  $q > 0$ ,  $zg(z) = o(\log^{-q} \frac{1}{|z|})$ . Let

$$(4.3) \quad D(0, R) = \Delta_1 \cup \Delta_2 \cup \Delta_3 \cup \Delta_4,$$

where

$$(4.4) \quad \begin{aligned} \Delta_1 &= D(0, \frac{|z|}{4}), & \Delta_2 &= D(z, \frac{|z|}{4}), \\ \Delta_3 &= \{\zeta : \frac{|z|}{4} < |\zeta - z| < \frac{|z|}{4} \log^{q+1} \frac{1}{|z|} \text{ and } |\zeta| > \frac{|z|}{4}\}, \\ \Delta_4 &= \{\zeta : \frac{|z|}{4} < |\zeta| < R \text{ and } |\zeta - z| > \frac{|z|}{4} \log^{q+1} \frac{1}{|z|}\}. \end{aligned}$$

We have

$$(4.5) \quad \begin{aligned} |zg(z)| &\leq |z|I_1 + |z|I_2 + |z|I_3 + |z|I_4 \quad \text{with} \\ I_j &= \int \int_{\Delta_j} \frac{|f(\zeta)|}{|\zeta|^2|\zeta - z|} d\xi d\eta \quad j = 1, 2, 3, 4. \end{aligned}$$

To prove the lemma, we need only to show that

$$(4.6) \quad \lim_{|z| \rightarrow 0} |z|I_j \log^q \frac{1}{|z|} = 0 \quad \text{for } j = 1, 2, 3, 4.$$

For  $\zeta \in \Delta_1$ , we have  $|\zeta - z| > |z| - |\zeta| > \frac{3}{4}|z|$  and so

$$(4.7) \quad I_1 \leq \frac{4}{3|z|} \int \int_{\Delta_1} \frac{|f(\zeta)|}{|\zeta|^2} d\xi d\eta.$$

Since  $f \in E_R$ , then for every  $s > 0$  there exists  $C_s > 0$  such that

$$(4.8) \quad |f(\zeta)| \leq C_s \log^{-s} \frac{1}{|\zeta|}, \quad \forall \zeta \in D(0, R).$$

Hence,

$$(4.9) \quad \begin{aligned} I_1 &\leq \frac{4C_{q+2}}{3|z|} \int \int_{\Delta_1} \frac{d\xi d\eta}{|\zeta|^2 \log^{q+2} \frac{1}{|\zeta|}} \\ &\leq \frac{8\pi C_{q+2}}{3|z|} \int_0^{|z|/4} \frac{dr}{r \log^{q+2} \frac{1}{r}} = \frac{8\pi C_{q+2}}{3|z|(q+1)} \log^{-(q+1)} \frac{1}{|z|} \end{aligned}$$

and (4.6) holds for  $j = 1$ .

For  $\zeta \in \Delta_2$ , we have  $\frac{|z|}{4} < |\zeta| < \frac{5}{4}|z|$ . Thus,

$$(4.10) \quad \frac{1}{|\zeta|^2} < \frac{16}{|z|^2} \quad \text{and} \quad \log^{-1} \frac{1}{|\zeta|} < \log^{-1} \frac{4}{5|z|}.$$

It follows that

$$(4.11) \quad \begin{aligned} I_2 &\leq \frac{16C_{q+1}}{|z|^2} \left( \log^{-(q+1)} \frac{4}{5|z|} \right) \int \int_{\Delta_2} \frac{d\xi d\eta}{|\zeta - z|} \\ &\leq \frac{8\pi C_{q+1}}{|z|} \log^{-(q+1)} \frac{4}{5|z|} \end{aligned}$$

and (4.6) holds for  $j = 2$ .

For  $\zeta \in \Delta_3$ , we have

$$(4.12) \quad \frac{1}{|\zeta|^2} \leq \frac{16}{|z|^2}.$$

We also have

$$(4.13) \quad |\zeta| \leq |z| + |\zeta - z| \leq |z|(1 + \frac{1}{4} \log^q \frac{1}{|z|}) \leq \sqrt{|z|}$$

(we are assuming  $|z|$  small). Thus

$$(4.14) \quad \log^{-1} \frac{1}{|\zeta|} \leq 2 \log^{-1} \frac{1}{|z|}$$

and

$$(4.15) \quad \begin{aligned} I_3 &\leq C_{2q+1} \int \int_{\Delta_3} \frac{1}{|\zeta|^2 |\zeta - z|} \left( \log^{-(2q+1)} \frac{1}{|\zeta|} \right) d\xi d\eta \\ &\leq \frac{16C_{2q+1}}{|z|^2} 2^{2q+1} \left( \log^{-(2q+1)} \frac{1}{|z|} \right) \int \int_{\Delta_3} \frac{d\xi d\eta}{|\zeta - z|}. \end{aligned}$$

Using polar coordinates in the last integral, we have

$$(4.16) \quad \int \int_{\Delta_3} \frac{d\xi d\eta}{|\zeta - z|} \leq 2\pi \int_{|z|/4}^{(|z|/4) \log^q \frac{1}{|z|}} dr = \frac{|z|}{4} (\log^q \frac{1}{|z|} - 1).$$

Therefore,

$$(4.17) \quad I_3 \leq \frac{8\pi C_{2q+1} 2^{2q+1}}{|z|} \left( \log^{-(2q+1)} \frac{1}{|z|} \right) \left( \log^q \frac{1}{|z|} - 1 \right)$$

and (4.6) holds for  $j = 3$ .

Finally, for  $\zeta \in \Delta_4$ , we use

$$|\zeta - z| > \frac{|z|}{4} \log^{q+1} \frac{1}{|z|}$$

to obtain

$$(4.18) \quad I_4 \leq \frac{4}{|z|} \left( \log^{-(q+1)} \frac{1}{|z|} \right) \int \int_{\Delta_4} \frac{|f(\zeta)|}{|\zeta|^2} d\xi d\eta \leq \frac{4B}{|z|} \left( \log^{-(q+1)} \frac{1}{|z|} \right),$$

where

$$(4.19) \quad B = \int \int_{D(0,R)} \frac{|f(\zeta)|}{|\zeta|^2} d\xi d\eta < \infty.$$

Therefore (4.6) holds for  $j = 4$  and the lemma is proved.  $\square$

**Theorem 4.1.** *Let  $f \in E_R$ . Then the CR equation*

$$(4.20) \quad \frac{\partial w}{\partial \bar{z}} = \frac{f(z)}{z}$$

*has a solution  $w \in E_R$ .*

*Remark 4.1.* Note that, in general, for  $f \in E_R$ , the function  $\frac{f}{z} \in L^2$  but  $\frac{f}{z} \notin L^p$  for any  $p > 2$ . Hence the classical results about the solvability of the inhomogeneous CR equation cannot be applied here.

*Proof of Theorem 4.1.* The function

$$(4.21) \quad v(z) = \frac{-1}{\pi} \int \int_{D(0,R)} \frac{f(\zeta)}{\zeta - z} d\xi d\eta \in C^\infty(D(0,R) \setminus \{0\}) \cap C^1(D(0,R)).$$

That  $v$  is in  $C^\infty(D(0,R) \setminus \{0\}) \cap C^\sigma(D(0,R))$  for any  $0 < \sigma < 1$  follows from classical theory (see [V], Chapter 1); that  $v$  is  $C^1$  at 0 follows from a result of [B], Chapter 2. We have

$$(4.22) \quad \frac{\partial v}{\partial z}(z) = \frac{-1}{\pi} \int \int_{D(0,R)} \frac{f(\zeta)}{(\zeta - z)^2} d\xi d\eta.$$

Let

$$(4.23) \quad u(z) = v(z) - v(0) - \frac{\partial v}{\partial z}(0)z = \frac{-z^2}{\pi} \int \int_{D(0,R)} \frac{f(\zeta)}{\zeta^2(\zeta - z)} d\xi d\eta.$$

The function  $u$  solves

$$(4.24) \quad \frac{\partial u}{\partial \bar{z}} = \frac{\partial v}{\partial \bar{z}} = f(z).$$

Therefore, it follows from Lemma 4.1 and from (4.24) that the function

$$w(z) = \frac{u(z)}{z} \in E_R$$

and solves equation (4.20). □

**Lemma 4.2.** *Let  $f \in E_R$ . The function*

$$(4.25) \quad Pf(z) = \int \int_{D(0,R)} \frac{f(\zeta)}{(\zeta - z)^2} d\xi d\eta,$$

*where the singular integral is understood in the sense of the Cauchy principal value, satisfies*

$$(4.26) \quad Pf(z) - Pf(0) \in E_R.$$

*Proof.* We know that if  $f \in E_R$ , then  $Pf$  is  $C^\infty$  away from 0 (see [V], Chapter 1). To prove the lemma, we need only to show that for a given  $q > 0$ ,

$$(4.27) \quad \lim_{z \rightarrow 0} (Pf(z) - Pf(0)) \log^q \frac{1}{|z|} = 0.$$

We can rewrite (see [V], page 58)

$$(4.28) \quad \begin{aligned} Pf(z) - Pf(0) &= -z \int \int_{D(0,R)} \frac{f(\zeta) - f(z)}{(\zeta - z)^2 \zeta} d\xi d\eta \\ &\quad - z \int \int_{D(0,R)} \frac{f(\zeta)}{\zeta^2(\zeta - z)} d\xi d\eta - \pi f(z) \frac{\bar{z}}{z} \end{aligned}$$

(in fact, in [V] there is an additional term defined by an integral over the boundary which is equal to zero in our case since  $\partial D(0, R)$  is the circle). We then have

$$(4.29) \quad |Pf(z) - Pf(0)| \leq |z|I_1 + |z|I_2 + \pi|f(z)|,$$

where

$$(4.30) \quad I_1 = \int \int_{D(0,R)} \frac{|f(\zeta) - f(z)|}{|\zeta - z|^2 |\zeta|} d\xi d\eta \quad \text{and} \quad I_2 = \int \int_{D(0,R)} \frac{|f(\zeta)|}{|\zeta|^2 |\zeta - z|} d\xi d\eta.$$

Since  $f \in E_R$ , to prove the lemma, we need only to show that

$$(4.31) \quad \lim_{z \rightarrow 0} |z| I_k \log^q \frac{1}{|z|} = 0 \quad \text{for } k = 1, 2.$$

For  $k = 2$ , (4.31) holds by Lemma 4.1. To prove it for  $k = 1$ , notice that since  $f \in E_R$ , then

$$(4.32) \quad |f(\zeta) - f(z)| = o(\log^{-q} \frac{1}{|\zeta - z|}) \quad \forall q > 0$$

uniformly in  $z$ . Hence for  $|z| < \frac{r}{2}$ , we have

$$(4.33) \quad I_1 = \int \int_{D(z,R)} \frac{f(\tau + z) - f(z)}{|\tau|^2 |\tau + z|} ds dt \leq \int \int_{D(0,2R)} \frac{|h(\tau, z)|}{|\tau|^2 |\tau + z|} ds dt,$$

where we have set  $\tau = s + it$  and  $h(\tau, z) = f(z + \tau) - f(z)$ . It follows from (4.32) that  $h(\cdot, z) \in E_R$  and so (4.31) follows again from Lemma 4.1.  $\square$

**Theorem 4.2.** *Let  $\mu(z) \in E_R$ . The Beltrami equation*

$$(4.34) \quad \frac{\partial w}{\partial \bar{z}} = \mu(z) \frac{\partial w}{\partial z}$$

*has a solution of the form*

$$(4.35) \quad w(z) = z(1 + K(z))$$

*with  $K(z) \in E_R$ .*

*Proof.* It follows from classical results that any solution of (4.34) is  $C^\infty$  away from 0 (for  $R$  small enough) and it follows from [B] (Chapter 3) that equation (4.34) has a  $C^1$  solution that is a local diffeomorphism at 0. The local diffeomorphism can be constructed as follows (see [V], Chapter 2). Let

$$(4.36) \quad w(z) = z + Tf(z),$$

with  $f$  satisfying the integral equation

$$(4.37) \quad f(z) - \mu(z)\Pi f(z) = \mu(z),$$

where  $T$  and  $\Pi$  are the integral operators

$$(4.38) \quad \begin{aligned} Tf(z) &= \frac{-1}{\pi} \int \int_{D(0,R)} \frac{f(\zeta)}{\zeta - z} d\xi d\eta, \\ \Pi f(z) &= \frac{-1}{\pi} \int \int_{D(0,R)} \frac{f(\zeta)}{(\zeta - z)^2} d\xi d\eta. \end{aligned}$$

Furthermore, the function  $f$  is obtained as the limit of the sequence  $f_n$  defined by

$$(4.39) \quad f_0 = 0 \quad \text{and} \quad f_{n+1}(z) = \mu(z)\Pi f_n(z) + \mu(z) \quad \text{for } n \geq 0.$$

Each  $f_n$  is in  $E_R$  and so is  $f$ . The function

$$(4.40) \quad v(z) = \frac{Tf(z) - Tf(0)}{z} = \frac{-1}{\pi} \int \int_{D(0,R)} \frac{f(\zeta)}{\zeta(\zeta - z)} d\xi d\eta$$

solves the equation

$$(4.41) \quad \frac{\partial v}{\partial \bar{z}} = \frac{f}{z}.$$

It follows from Theorem 4.1 that

$$(4.42) \quad K_1(z) = v(z) - v(0) \in E_R.$$

Hence,

$$(4.43) \quad w(z) = z + Tf(z) - Tf(0)$$

has the desired form.  $\square$

## 5. A $C^\infty$ INTEGRAL

We construct here a  $C^\infty$  integral for  $L_n$  defined in a tubular neighborhood of the characteristic circle. More precisely, we have the following theorem.

**Theorem 5.1.** *Let  $L_n$  be as in (2.1) and for  $\delta > 0$  let*

$$(5.1) \quad A_\delta = (-\delta, \delta) \times S^1, \quad A_\delta^+ = (0, \delta) \times S^1, \quad A_\delta^- = (-\delta, 0) \times S^1.$$

*Then there is  $\delta > 0$  and  $h \in C^\infty(A_\delta)$  such that*

- (i)  *$h$  is flat along the circle  $\{r = 0\}$ ;*
- (ii)  *$h : A_\delta^\pm \rightarrow h(A_\delta^\pm)$  is a diffeomorphism; and*
- (iii)  *$L_n h = 0$ .*

The rest of the section deals with the proof of this theorem. Let

$$(5.2) \quad \frac{P(r)}{r^n} + \mu \log |r| + i\theta + \sum_{j=1}^{\infty} f_j(\theta) r^j$$

be the series constructed in Section 2, where  $P$  is the polynomial of degree  $\leq n-1$  given by

$$(5.3) \quad P(r) = \alpha_{-n} + \alpha_{-n+1}r + \cdots + \alpha_{-1}r^{n-1}.$$

Note that

$$(5.4) \quad P(0) = \frac{-1}{nc_0} = \frac{-1}{n(1+i\beta)} \quad \text{and} \quad \operatorname{Re}(P(0)) = \frac{-1}{n(1+\beta^2)} < 0.$$

Let  $g(r, \theta) \in C^\infty(A_\delta)$  be such that

$$(5.5) \quad \frac{\partial^j g}{\partial r^j}(0, \theta) = j! f_j(\theta), \quad \forall j \in \mathbb{Z}^+.$$

Thus the Taylor series of  $g$  with respect to  $r$  is  $\sum f_j(\theta) r^j$ . Let

$$(5.6) \quad m(r, \theta) = \frac{P(r)}{r^n} + \mu \log |r| + i\theta + g(r, \theta).$$

The function  $m$  is  $C^\infty$  in  $\mathbb{R} \times \mathbb{R}$  for  $r \neq 0$  small, and it satisfies

$$(5.7) \quad m(r, \theta + 2\pi) = m(r, \theta) + 2\pi \quad \forall (r, \theta).$$

It follows from Proposition 2.1 and from (5.5) that  $L_n m$  is flat along  $r = 0$ . That is,

$$(5.8) \quad L_n m(r, \theta) = o(r^q) \quad \forall q > 0.$$

Define a function  $f \in C^\infty(A_\delta)$  by

$$(5.9) \quad f(r, \theta) = \exp(\epsilon(r)^n m(r, \theta)),$$

where  $\epsilon(r) = \frac{r}{|r|}$ . The function  $f$  satisfies

$$(5.10) \quad |f(r, \theta)| = 0(\exp(\frac{Re(P(0))}{|r|^n})).$$

Consequently,  $f$  vanishes to infinite order along  $r = 0$  since  $Re(P(0)) < 0$ .

**Lemma 5.1.** *There exists  $\delta > 0$  such that the maps*

$$(5.11) \quad f : A_\delta^\pm \longrightarrow f(A_\delta^\pm),$$

*defined in (5.9), are diffeomorphisms.*

*Proof.* We will prove the lemma for  $A_\delta^+$ . We need only to show that there exists  $\delta > 0$  for which  $f$  is injective in  $A_\delta^+$ . Consider the equation

$$(5.12) \quad f(r, \theta) = f(\rho, \phi).$$

By equating the real and imaginary parts we obtain

$$(5.13) \quad \begin{aligned} \frac{P_1(r) + \mu_1 r^n \log r + r^n g_1(r, \theta)}{r^n} &= \frac{P_1(\rho) + \mu_1 \rho^n \log \rho + \rho^n g_1(\rho, \phi)}{\rho^n}, \\ \theta + \frac{P_2(r) + \mu_2 r^n \log r + r^n g_2(r, \theta)}{r^n} &= \phi + \frac{P_2(\rho) + \mu_2 \rho^n \log \rho + \rho^n g_2(\rho, \phi)}{\rho^n} \end{aligned}$$

where we have set

$$\mu = \mu_1 + i\mu_2, \quad P = P_1 + iP_2, \quad \text{and} \quad g = g_1 + ig_2.$$

The first equation of (5.13) can be rewritten as

$$(5.14) \quad \frac{r}{\sqrt[n]{-P_1(r) - \mu_1 r^n \log r - r^n g_1(r, \theta)}} = \frac{\rho}{\sqrt[n]{-P_1(\rho) - \mu_1 \rho^n \log \rho - \rho^n g_1(\rho, \phi)}}.$$

We have then, from the implicit function theorem, that for  $\delta$  small enough (5.13) has a solution of the form

$$(5.15) \quad \rho = r(1 + r\alpha(r, \theta)) \quad \text{and} \quad \phi = \theta + r\beta(r, \theta).$$

Now, it can be proved that  $f$  is a local diffeomorphism in a neighborhood of each point  $(r, \theta)$  with  $r \neq 0$ . This, together with (5.15), imply that the functions  $\alpha$  and  $\beta$  are identically zero and so  $f$  is injective on  $A_\delta^+$ . A similar argument shows that  $f$  is also injective on  $A_\delta^-$ .  $\square$

Since  $f$  is flat along  $r = 0$ , it follows from Lemma 5.1 that there exists  $R = R(\delta)$  such that

$$(5.16) \quad (D(0, R) \setminus \{0\}) \subset f(A_\delta^\pm) \subset \mathbb{C}.$$

Let

$$(5.17) \quad L^\pm = f_* L_n$$

be the pushforward to  $f(A_\delta^\pm)$  of the vector field  $L_n$  via  $f$ .

**Lemma 5.2.** *There exist a function  $A(z) \in E_R$ , where  $E_R$  is the space of functions defined in (4.1), and a function  $B(z)$  with*

$$B \in C^\infty(D(0, R) \setminus \{0\}) \quad \text{and} \quad B(z) \log^{1/n} \left( \frac{1}{|z|} \right) \text{ is bounded}$$

such that the vector field  $L^+$  defined by (5.17) can be expressed as

$$(5.18) \quad L^+ = zA(z) \frac{\partial}{\partial z} - \frac{2i}{c_0} \bar{z}(1 + B(z)) \frac{\partial}{\partial \bar{z}}.$$

A similar expression holds for  $L^-$ .

*Proof.* Since  $z = f(r, \theta)$ , then it follows from (5.10) that there exist positive constants  $a$  and  $b$  such that

$$(5.19) \quad a \exp(-(\frac{\kappa}{r})^n) < |z| < b \exp(-(\frac{\kappa}{r})^n),$$

where we have set  $\kappa = \sqrt[n]{-P_1(0)}$ . Equivalently,

$$(5.20) \quad \kappa \log^{-\frac{1}{n}} \frac{b}{|z|} < r < \kappa \log^{-\frac{1}{n}} \frac{a}{|z|}.$$

Let

$$(5.21) \quad L^+ = X(z) \frac{\partial}{\partial z} + Y(z) \frac{\partial}{\partial \bar{z}}$$

where

$$(5.22) \quad X(z) = (L_n f)(f^{-1}(z)) \quad \text{and} \quad Y(z) = (L_n \bar{f})(f^{-1}(z)).$$

Using  $f(r, \theta) = \exp m(r, \theta)$ , we get

$$(5.23) \quad L_n f = f L_n m \quad \text{and} \quad L_n \bar{f} = \bar{f} L_n \bar{m}.$$

We know that  $L_n m$  is flat along  $r = 0$ , and

$$(5.24) \quad \begin{aligned} L_n \bar{m} &= \left( \frac{\partial}{\partial \theta} - i r^{n+1} (c_0 + O(r)) \frac{\partial}{\partial r} \right) \left( \frac{\bar{P}(r)}{r^n} - i\theta + \bar{\mu} \log r + O(r) \right) \\ &= -i + i n c_0 \bar{P}(0) + O(r) = \frac{-2i}{c_0} + O(r). \end{aligned}$$

Hence, it follows from (5.22), (5.23) and (5.24) that

$$(5.25) \quad X(z) = zA(z) \quad \text{and} \quad Y(z) = \frac{-2i}{c_0} \bar{z}(1 + B(z)).$$

That  $A \in E_R$  follows from (5.23), (5.20) and (5.8). That  $B$  satisfies the conditions of the lemma follows from (5.22), (5.24), and (5.20).  $\square$

We are going to construct a solution to the equation  $L_n u = 0$  in  $A_\delta^+$  in the form  $u = f(r, \theta)(1 + k(r, \theta))$ , where  $f$  is defined by (5.9) and where  $k$  is a  $C^\infty$  function vanishing to infinite order along  $r = 0$ . The function  $k$  will be defined as

$$(5.26) \quad k(r, \theta) = K \circ f(r, \theta)$$

where  $K(z)$  is a solution of the equation

$$(5.27) \quad L^+(z(1 + K(z))) = 0 \quad \text{in} \quad D(0, R),$$

and where  $L^+$  is defined in (5.18).

By using the expression of  $L^+$  given in Lemma 5.2, we find that the function  $U = \log(1 + K)$  must solve the equation

$$(5.28) \quad \frac{\partial U}{\partial \bar{z}} = \frac{M(z)}{\bar{z}} + \frac{z}{\bar{z}} M(z) \frac{\partial U}{\partial z},$$

where we have set

$$(5.29) \quad M(z) = \frac{\overline{c_0} A(z)}{2i(1 + B(z))} \in E_R.$$

To solve (5.28), we first consider the Beltrami equation

$$(5.30) \quad \frac{\partial w}{\partial \bar{z}} = \frac{z}{\bar{z}} M(z) \frac{\partial w}{\partial z}.$$

Since this equation has a coefficient in  $E_R$ , then it follows from Theorem 4.2 that it has a solution  $w$  of the form

$$(5.31) \quad w(z) = z(1 + s(z)) \quad \text{with} \quad s \in E_R.$$

With respect to the new complex variable  $w$ , equation (5.28) becomes

$$(5.32) \quad \frac{\partial U}{\partial \bar{z}} = \frac{N(w)}{\bar{w}},$$

where

$$(5.33) \quad N(w) = \frac{\overline{w} M}{\bar{z}(1 - |M|^2) \overline{w}_z}.$$

Hence,  $N \in E_R$  and by Theorem 4.1, equation (5.32) has a solution  $U(w) \in E_R$ . The function

$$(5.34) \quad K(z) = \exp(U(w(z))) - 1 \in E_R$$

solves (5.27) and consequently, the function  $k(r, \theta)$  given by (5.26) is flat along  $r = 0$  (thanks to (5.20)) and

$$(5.35) \quad L_n(f(r, \theta)(1 + k(r, \theta))) = 0 \quad \text{in } A_\delta^+.$$

A similar argument gives a solution to the equation  $L_n u = 0$  in  $A_\delta^-$  of the form  $u = f(1 + \hat{k})$  with  $\hat{k}$  flat along  $r = 0$ . We define  $h$  in  $A_\delta$  by

$$(5.36) \quad h(r, \theta) = \begin{cases} f(r, \theta)(1 + k(r, \theta)) & \text{if } r \geq 0, \\ f(r, \theta)(1 + \hat{k}(r, \theta)) & \text{if } r \leq 0. \end{cases}$$

It follows from the construction of  $k$  and  $\hat{k}$  that if  $\delta$  is small enough, then  $h$  satisfies all properties of Theorem 5.1. This completes the proof.

*Remark 5.1.* The integral  $h(r, \theta)$  constructed above has the form

$$(5.37) \quad h(r, \theta) = \exp \left( \epsilon(r)^n \left( \frac{P(r)}{r^n} + \mu \log |r| + i\theta + l(r, \theta) \right) \right)$$

with  $l \in C^\infty(A_\delta)$  and  $l(0, \theta) = 0$ . In general,  $l$  is not real analytic, even when  $L_n$  is real analytic (see Section 3).



## 6. NORMALIZATION

We make use of the first integral constructed in the previous section to find a normal form for the vector field  $L_n$ .

**Theorem 6.1.** *Let  $L_n$  be a vector field as in (2.1). Then there exists a unique polynomial  $P(r)$  with  $\operatorname{Re}(P(0)) < 0$  and of degree  $\leq n - 1$ , and there exists a complex number  $\mu$  such that  $L_n$  is  $C^\infty$ -conjugate in a ring  $A_\delta$  to the vector field*

$$(6.1) \quad R_n = \frac{\partial}{\partial \theta} - i \frac{r^{n+1}}{rP'(r) - nP(r) + \mu r^n} \frac{\partial}{\partial r},$$

with a  $C^\infty$  integral given by

$$(6.2) \quad f_n(r, \theta) = \exp \left( \epsilon(r)^n \left( \frac{P(r)}{r^n} + \mu \log |r| + i\theta \right) \right),$$

where  $\epsilon(r) = \frac{r}{|r|}$ .

To prove the theorem, we use the first integral  $h(r, \theta)$  given by (5.37). Our aim is to find new coordinates in which the function  $l(r, \theta)$  is identically zero. Let

$$(6.3) \quad A(r) = \frac{P(r)}{r^n} + i\mu \log |r|.$$

We decompose the functions into their real and imaginary parts:

$$(6.4) \quad A = A_1 + iA_2, \quad P = P_1 + iP_2, \quad l = l_1 + il_2, \quad \mu = \mu_1 + i\mu_2.$$

**Lemma 6.1.** *The equation*

$$(6.5) \quad A_1(\rho) = A_1(r) + l_1(r, \theta)$$

has a solution  $\rho \in C^\infty(A_\delta)$  of the form

$$(6.6) \quad \rho = r + r^{n+2}\beta(r, \theta).$$

*Proof.* Equation (6.5) can be rewritten as

$$(6.7) \quad \frac{\rho}{(-P_1(\rho) - \rho^n \mu_1 \log |\rho|)^{1/n}} = \frac{r}{(-P_1(r) - r^n \mu_1 \log |r| - r^n l_1(r, \theta))^{1/n}}.$$

It follows at once from the implicit function theorem that (6.7) has a solution  $\rho = r + o(r)$ . We write this solution as  $\rho = r(1 + \alpha(r, \theta))$  and solve for the function  $\alpha$ . By rewriting (6.5) for the unknown  $\alpha$ , we get the equation

$$(6.8) \quad G(r, \theta, \alpha) = 0,$$

where  $G$  is a  $C^\infty$  function defined for  $|r| < \delta$ ,  $|\alpha| < \delta$ , and  $\theta \in S^1$  by

$$(6.9) \quad G(r, \theta, \alpha) = (1 + \alpha)^n (P_1(r) + r^n l_1(r, \theta)) - P_1(r(1 + \alpha)) - \mu_1 r^n \log(1 + \alpha).$$

Since

$$(6.10) \quad \frac{\partial G}{\partial \alpha}(0, 0, \theta) = nP_1(0) \neq 0,$$

and

$$(6.11) \quad \frac{\partial^j G}{\partial r^j}(0, 0, \theta) = 0 \quad \text{for } j = 0, \dots, n,$$

the solution  $\alpha$  satisfies  $\alpha = o(r^n)$ . This proves the lemma.  $\square$

**Lemma 6.2.** *Let  $\rho(r, \theta)$  be a function as in (6.6). Then*

$$\log r - \log \rho \in C^\infty(A_\delta) \quad \text{and} \quad \frac{P_2(r)}{r^n} - \frac{P_2(\rho)}{\rho^n} \in C^\infty(A_\delta), \quad (6.12)$$

where  $P_2$  is the imaginary part of the polynomial  $P$ . Furthermore, the functions given in (6.12) vanish along  $r = 0$ .

*Proof.* For  $\rho$  as in (6.6), we have

$$(6.13) \quad \log r - \log \rho = -\log(1 + r^{n+1}\beta)$$

which is clearly  $C^\infty$  for  $r$  small and vanishes for  $r = 0$ . We also have

$$(6.14) \quad \frac{P_2(r)}{r^n} - \frac{P_2(\rho)}{\rho^n} = \frac{(1 + r^{n+1}\beta)^n P_2(r) - P_2(r(1 + r^{n+1}\beta))}{r^n(1 + r^{n+1}\beta)^n}.$$

Since

$$(6.15) \quad (1 + r^{n+1}\beta)^n P_2(r) - P_2(r(1 + r^{n+1}\beta)) = o(r^{n+1}),$$

the conclusions follow.  $\square$

*Proof of Theorem 6.1.* Let  $\rho$  be the solution (6.6) of equation (6.5). With respect to the coordinates  $(\rho, \theta)$ , the function  $h(r, \theta)$  has the expression

$$(6.16) \quad h(\rho, \theta) = \exp \left[ \epsilon(\rho)^n \left( \frac{P_1(\rho)}{\rho^n} + \mu_1 \log |\rho| + i(\theta + \frac{P_2(\rho)}{\rho^n} + \mu_2 \log |\rho| + s(\rho, \theta)) \right) \right],$$

where  $s$  is given by

$$(6.17) \quad s(\rho, \theta) = l_2(r, \theta) + \mu_2(\log |r| - \log |\rho|) + \frac{P_2(r)}{r^n} - \frac{P_2(\rho)}{\rho^n}.$$

It follows from Lemma 6.2 that  $s \in C^\infty(A_\delta)$  and that  $s = 0$  along  $\rho = 0$ . Finally, if we take as a new angle,

$$(6.18) \quad \phi = \theta + s(\rho, \theta),$$

then with respect to the new coordinates  $(\rho, \phi)$ , the function  $h$  has the desired form

$$(6.19) \quad h(\rho, \theta) = \exp(\epsilon(\rho)^n (A(\rho) + i\phi))$$

whose annihilator is the vector field  $R_n(\rho, \phi)$  given in (6.1).  $\square$

For a real analytic vector field  $L_n$ , the normal form  $R_n$  can be achieved under a real analytic diffeomorphism only when the formal integral constructed in Section 2 converges for some  $r \neq 0$ . Under the assumption that the formal integral converges, the proof of the  $C^\omega$ -conjugacy is identical to that given above. We state this as the following theorem.

**Theorem 6.2.** *Let  $L_n$  be a real analytic vector field as in (2.1). Suppose that the corresponding formal solution converges for some  $r \neq 0$ . Then  $L_n$  is  $C^\omega$ -conjugate in a ring  $A_\delta$  to the vector field*

$$(6.20) \quad R_n = \frac{\partial}{\partial \theta} - i \frac{r^{n+1}}{rP'(r) - nP(r) + \mu r^n} \frac{\partial}{\partial r}.$$

7. THE KERNEL OF  $R_n$ 

We determine the structure of the solutions of the homogeneous equation

$$(7.1) \quad R_n u = 0$$

in the ring  $A_\delta = (-\delta, \delta) \times S^1$ .

**Theorem 7.1.** *Let  $f_n$  be the first integral given in (6.2) of the vector field  $R_n$ . A function  $u \in C^0(\overline{A_\delta})$  solves (7.1) if and only if there exist holomorphic functions  $H^\pm$  defined in a neighborhood of  $0 \in \mathbb{C}$ , with  $H^+(0) = H^-(0)$ , such that*

$$(7.2) \quad u(r, \theta) = H^\pm \circ f_n(r, \theta) \quad \forall (r, \theta) \in \overline{A_\delta^\pm},$$

where  $A_\delta^+ = A_\delta \cap \{r > 0\}$  and  $A_\delta^- = A_\delta \cap \{r < 0\}$ . Consequently, any  $C^0$ -solution of (7.1) is  $C^\infty$ .

*Proof.* The pushforward of  $u$  in  $A_\delta^+$  via the first integral  $f_n$  is a function  $H^+$  defined in  $f_n(A_\delta^+)$  that satisfies the CR equation  $H_z^+ = 0$ . Hence,  $H^+$  is a bounded holomorphic function defined in a neighborhood of  $0 \in \mathbb{C}$ . Therefore,  $u = H^+ \circ f_n$  in  $A_\delta^+$ . A similar result holds in  $A_\delta^-$ . That  $H^+(0) = H^-(0)$  follows from the continuity of  $u$  and that  $u$  is  $C^\infty$  on  $r = 0$  follows from the flatness of  $f_n$  along  $r = 0$ .  $\square$

*Remark 7.1.* Theorem 7.1 does not have a local counterpart version. For every  $p \in \Sigma$  there exist  $C^0$  solutions of  $L_n u = 0$  defined in a neighborhood of  $p$  that are not  $C^\infty$ . For example, for a given branch of the logarithm, the function  $(x + ix^2 t)^{3/2}$  is not  $C^\infty$  in a neighborhood of 0 and it satisfies the equation

$$\left(\frac{\partial}{\partial t} - i \frac{x^2}{1 + 2ixt} \frac{\partial}{\partial x}\right) u = 0$$

(we refer to [T1] and [T2] for the local solvability of vector fields).

The next result describes the distribution solutions of (7.1) that are supported by the characteristic circle  $r = 0$ . The analogue question for the vector field  $L_0$  is treated in [BhM2].

**Theorem 7.2.** *Let  $u \in \mathcal{D}'(A_\delta)$  with  $\text{supp}(u) \subset \{r = 0\}$ . If  $u$  solves (7.1), then there exist constants  $c_0, \dots, c_{n-1}$  in  $\mathbb{C}$  such that*

$$(7.3) \quad \langle u, \phi \rangle = \sum_{j=0}^{n-1} c_j \int_0^{2\pi} \frac{\partial^j \phi}{\partial r^j}(0, \theta) d\theta \quad \forall \phi \in \mathcal{D}(A_\delta).$$

*Proof.* The transpose of  $R_n$  is the operator

$$(7.4) \quad R_n^* = -\frac{\partial}{\partial \theta} + ir^{n+1}Q(r)\frac{\partial}{\partial r} + i(r^{n+1}Q(r))_r,$$

where

$$Q(r) = \frac{1}{rP'(r) - nP(r) + \mu r^n}.$$

First, we verify that a distribution  $u$  given by (7.3) solves equation (7.1). For  $j = 0, \dots, n-1$ , let  $u_j \in \mathcal{D}'(A_\delta)$  be defined by

$$(7.5) \quad \langle u_j, \phi \rangle = \int_0^{2\pi} \frac{\partial^j \phi}{\partial r^j}(0, \theta) d\theta \quad \forall \phi \in \mathcal{D}(A_\delta).$$

For  $\phi \in \mathcal{D}(A_\delta)$ , we write

$$(7.6) \quad \phi(r, \theta) = \sum_{k=0}^{n-1} l_k(\theta) r^k + O(r^n), \quad l_k(\theta) \in C^\infty(S^1).$$

It follows that

$$(7.7) \quad R_n^* \phi = - \sum_{k=0}^{n-1} l'_k(\theta) r^k + O(r^n).$$

Therefore,

$$(7.8) \quad \frac{\partial^j R_n^* \phi}{\partial r^j}(0, \theta) = -j! l'_j(\theta),$$

and

$$(7.9) \quad \langle R_n u_j, \phi \rangle = \langle u_j, R_n^* \phi \rangle = \int_0^{2\pi} \frac{\partial^j R_n^* \phi}{\partial r^j}(0, \theta) d\theta = -j! \int_0^{2\pi} l'_j(\theta) d\theta = 0.$$

Hence,  $u_j$  solves (7.1) and so does any linear combination given by (7.3).

Let  $u \in \mathcal{D}'(A_\delta)$  be a solution of (7.1) and  $\text{supp}(u) \subset \{r = 0\}$ . Suppose that  $u$  has a transverse order  $m$ . Since  $R_n$  is elliptic in the tangential direction along  $r = 0$ , then there exist  $a_0(\theta), \dots, a_m(\theta) \in C^\infty(S^1)$  such that

$$(7.10) \quad \langle u, \phi \rangle = \sum_{k=0}^m \int_0^{2\pi} a_k(\theta) \frac{\partial^k \phi}{\partial r^k}(0, \theta) d\theta.$$

We prove that the order  $m$  must satisfy  $m \leq n - 1$ . By contradiction, suppose that  $m \geq n$ . Then  $a_m \neq 0$  and there exists  $p \in \mathbb{Z}$  such that

$$(7.11) \quad \int_0^{2\pi} a_m(\theta) e^{ip\theta} d\theta \neq 0.$$

If  $p \neq 0$ , we let  $\phi \in \mathcal{D}(A_\delta)$  be of the form

$$(7.12) \quad \phi(r, \theta) = e^{ip\theta} r^m + o(r^m).$$

Then

$$(7.13) \quad R_n^* \phi = -ipe^{ip\theta} r^m + o(r^m)$$

and

$$(7.14) \quad \begin{aligned} \frac{\partial^j R_n^* \phi}{\partial r^j}(0, \theta) &= 0 \quad \text{if } j = 0, \dots, m-1, \\ \frac{\partial^m R_n^* \phi}{\partial r^m}(0, \theta) &= -m! ipe^{ip\theta}. \end{aligned}$$

It follows from (7.10), (7.11) and (7.14) that

$$(7.15) \quad \langle R_n u, \phi \rangle = \langle u, R_n^* \phi \rangle = \int_0^{2\pi} a_m(\theta) (-m! ipe^{ip\theta}) d\theta \neq 0.$$

This contradicts the hypothesis  $R_n u = 0$  and shows that  $m \leq n - 1$  when  $p \neq 0$ . In the case  $p = 0$ , we consider  $\phi \in \mathcal{D}(A_\delta)$  independent of  $\theta$  and given by

$$(7.16) \quad \phi(r, \theta) = g(r) = r^{m-n} + o(r^{m-n})$$

with  $g \in \mathcal{D}((-\delta, \delta))$ . We have (by using (7.4)) that

$$(7.17) \quad R_n^* \phi(r, \theta) = i \frac{d}{dr} (r^{n+1} Q(r) g(r)) = i(m+1) Q(0) r^m + o(r^m).$$

Consequently,

$$(7.18) \quad \begin{aligned} \frac{\partial^j R_n^* g}{\partial r^j}(0, \theta) &= 0 \quad \text{if } j = 0, \dots, m-1, \\ \frac{\partial^m R_n^* \phi}{\partial r^m}(0, \theta) &= i(m+1)!Q(0) \neq 0. \end{aligned}$$

A similar argument shows that in this case, we also have  $\langle R_n u, g \rangle \neq 0$ . This shows that the order of a distribution solution supported by the characteristic circle needs to be  $\leq n-1$ .

Next, we prove that the coefficients  $a_0(\theta), \dots, a_m(\theta)$  given in (7.10) are constants. For  $\phi \in \mathcal{D}(A_\delta)$ , we have

$$(7.19) \quad 0 = \langle R_n u, \phi \rangle = \sum_{j=0}^m \int_0^{2\pi} a_j(\theta) \frac{\partial^j R_n^* \phi}{\partial r^j}(0, \theta) d\theta.$$

We write

$$(7.20) \quad \phi(r, \theta) = \sum_{k=0}^m l_k(\theta) r^k + o(r^m).$$

Since  $m < n$ , it follows from (7.4) and (7.20) that for  $j = 0, \dots, m$ ,

$$(7.21) \quad \frac{\partial^j R_n^* \phi}{\partial r^j}(0, \theta) = j! l'_j(\theta).$$

For a given  $k \leq m$ , let  $\phi_k \in \mathcal{D}'(A_\delta)$  be such that

$$(7.22) \quad \phi_k(r, \theta) = l_k(\theta) r^k + o(r^m).$$

Equation (7.19) together with (7.21) gives

$$(7.23) \quad 0 = \langle R_n u, \phi_k \rangle = k! \int_0^{2\pi} a_k(\theta) l'_k(\theta) d\theta.$$

If  $a_k$  were not constant, then there would be  $p \in \mathbb{Z}$  with  $p \neq 0$  such that

$$(7.24) \quad \int_0^{2\pi} a_k(\theta) e^{ip\theta} d\theta \neq 0,$$

and in this case if we select  $f_k(\theta) = e^{ip\theta}$ , then (7.23) will be violated. This shows that  $a_0, \dots, a_m$  are constants.  $\square$

## 8. A DEGENERATE BELTRAMI EQUATION

The Beltrami equation  $w_{\bar{z}} = \mu(z)w_z$  has been studied in the elliptic case  $|\mu(z)| \leq K < 1$  for all  $z$  in a domain of  $\mathbb{C}$  (see [V]). However, very little is known when  $\mu(z)$  is not uniformly bounded away from 1. In this section, we consider this degenerate situation and show that it can be understood in terms of the vector field  $L_n$  with  $n = 0$ .

We start with a vector field  $V$  defined in a disc  $D(0, \delta) \subset \mathbb{C}$  by

$$(8.1) \quad V = A(z) \frac{\partial}{\partial z} + B(z) \frac{\partial}{\partial \bar{z}},$$

where  $A, B \in C^l(D(0, R))$  satisfy

$$(8.2) \quad |A(z)| = O(|z|^m) \quad \text{and} \quad |B(z)| = O(|z|^n)$$

with  $m, n \in \mathbb{Z}^+$  such that

$$(8.3) \quad n \leq m < l.$$

Assume that there exist constants  $a, b > 0$  such that

$$(8.4) \quad a|z|^{2n} \leq |B(z)|^2 - |A(z)|^2 \leq b|z|^{2n}.$$

The equation  $Vw = 0$  is equivalent to the Beltrami equation

$$(8.5) \quad w_{\bar{z}} = \mu(z)w_z,$$

with

$$(8.6) \quad \mu(z) = -\frac{A(z)}{B(z)}.$$

It follows from hypothesis (8.4) that

$$(8.7) \quad |\mu(z)| < 1 \quad \text{for } z \neq 0.$$

Hence, equation (8.5) is elliptic in a neighborhood of each point  $z \neq 0$ , but  $\limsup_{z \rightarrow 0} |\mu(z)|$  might be equal to 1. We will show that this degenerate Beltrami equation has a solution which is a local homeomorphism at 0.

**Theorem 8.1.** *Let  $\mu(z)$  be given by (8.6) with  $A$  and  $B$  satisfying (8.4). Then there exist  $\delta > 0$ ,  $\sigma > 0$  and a function*

$$(8.8) \quad w \in C^{l+1}(D(0, R) \setminus \{0\}) \cap C^\sigma(D(0, R))$$

*such that  $w$  solves the Beltrami equation (8.5) and*

$$(8.9) \quad w : D(0, R) \longrightarrow w(D(0, R))$$

*is a homeomorphism.*

*Proof.* First, consider the case  $m > n$ . It follows from the hypotheses that

$$(8.10) \quad \mu(z) \in C^l(D(0, R) \setminus \{0\}) \cap C^{m-n-1+\sigma}(D(0, R))$$

for any  $0 < \sigma < 1$  and that  $\mu(0) = 0$ . This is a classical Beltrami equation and a diffeomorphic solution  $w$  can be found in  $D(0, R)$ . With  $w$  of class  $C^{l+1}$  away from 0, and of class  $C^{m-n+\sigma}$  at 0 (see [V]).

Next, in the case  $m = n$ , in which there is an effective degeneracy, let

$$(8.11) \quad \begin{aligned} A(z) &= A_n(z) + O(|z|^{n+1}), \\ B(z) &= B_n(z) + O(|z|^{n+1}) \end{aligned}$$

with  $A_n$  and  $B_n$  homogeneous polynomials in  $z$  and  $\bar{z}$  of degree  $n$ . We use polar coordinates  $z = re^{i\theta}$  to express (8.4) as

$$(8.12) \quad a \leq |B(e^{i\theta})|^2 - |A(e^{i\theta})|^2 \leq b$$

and the vector field  $V$  as

$$(8.13) \quad V = \frac{i}{2}r^{n-1} (B_n(e^{i\theta})e^{i\theta} - A_n(e^{i\theta})e^{-i\theta} + O(r)) \left( \frac{\partial}{\partial \theta} - ir(a(\theta) + O(r))\frac{\partial}{\partial r} \right),$$

where

$$(8.14) \quad a(\theta) = \frac{B_n(e^{i\theta})e^{i\theta} + A_n(e^{i\theta})e^{-i\theta}}{B_n(e^{i\theta})e^{i\theta} - A_n(e^{i\theta})e^{-i\theta}}.$$

It follows from (8.12) that the real part of  $a(\theta)$  is nowhere zero. We can therefore assume that  $\operatorname{Re}(a) > 0$  so that

$$(8.15) \quad \lambda = \frac{1}{2\pi} \int_0^{2\pi} a(\theta) d\theta \in \mathbb{R}^+ + i\mathbb{R}.$$

It follows then from [M2] that there exists  $\delta > 0$  such that the equation  $Vu = 0$  has a solution of the form

$$(8.16) \quad u(r, \theta) = r^{1/\lambda} e^{i\theta} B(r, \theta),$$

with

$$(8.17) \quad B \in C^{l+1}(A_\delta \setminus \{r = 0\}) \cup C^0(A_\delta)$$

and  $B(0, \theta) \neq 0$  for every  $\theta$ . Hence, for  $\delta$  small enough  $u$  is a homeomorphism from  $A_\delta^+$  onto its image  $u(A_\delta^+)$ . The expression of the function  $u$  in terms of the variable  $z = re^{i\theta}$  is

$$(8.18) \quad w(z) = \frac{z}{|z|} |z|^{1/\lambda} \hat{B}(Z)$$

with  $\hat{B}(z) = B(u^{-1}(z))$ . Thus

$$(8.19) \quad w \in C^l(D(0, \epsilon) \setminus \{0\}) \cap C^\sigma(D(0, \epsilon))$$

for any positive number  $\sigma$  satisfying

$$(8.20) \quad 0 < \sigma < \operatorname{Re}\left(\frac{1}{\lambda}\right).$$

Furthermore,  $w$  is a homeomorphism and satisfies equation (8.5).  $\square$

As a consequence of Theorem 8.1 we get the following factorization result.

**Theorem 8.2.** *If  $u$  is a  $C^0$ -solution of (8.5) defined near  $0 \in \mathbb{C}$ , then there exists a holomorphic  $H$  such that  $u = H \circ w$ , where  $w$  is the homeomorphic solution of (8.5) as in Theorem 8.1.*

*Remark 8.1.* The following question (motivated by geometric considerations) is considered in [W] (page 52). Given  $A(x, y)$  and  $B(x, y)$  real analytic functions defined near  $0 \in \mathbb{R}^2$  such that

$$\left| \frac{A(x, y)}{B(x, y)} \right| \leq K < 1 \quad \text{for } x^2 + y^2 \leq R^2,$$

the question is to determine whether the Beltrami equation  $w_{\bar{z}} = (A/B)w_z$  has a meromorphic solution. That is, a solution of the form

$$w(x, y) = \frac{f(x, y)}{g(x, y)}$$

with  $w$  a local homeomorphism at 0 and  $f$  and  $g$  real analytic. In view of Theorem 8.1 and its proof, in general, there are no nontrivial meromorphic solutions to such Beltrami equations. Indeed, a necessary condition for the existence of a meromorphic solution is that the invariant  $\lambda$  (see (8.15)) of the associated vector field  $V$  (as in (8.13)) must be in  $\mathbb{Z}^+$ . This follows from the fact that if the solution is meromorphic, then its expression in polar coordinates  $(r, \theta)$  would be a real

analytic integral of  $V$  and thus  $\lambda \in \mathbb{Z}^+$  (see [M1] and [M2]). However, for given real analytic functions  $A, B$ , the associated invariant  $\lambda$  is not necessarily in  $\mathbb{Z}$ . In fact, even when  $\lambda \in \mathbb{Z}^+$ , there are vector fields without nontrivial  $C^\omega$  solutions (see [CG]).

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